# **Programm- & Systemverifikation**

**Coverage Criteria** 

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How bugs come into being:

- Fault cause of an error (e.g., mistake in coding)
- Error incorrect state that may lead to failure
- Failure deviation from desired behaviour
- We specified intended behaviour using assertions
- We proved (simple) programs correct.
- We learned about black-box testing
  - equivalence partitioning
  - boundary testing

- Mainly applicable to higher levels of testing
  - Acceptance Testing
  - System Testing
- Focus on <u>what</u> the software does (not how it does it)
- Derive input equivalence classes by speculating on behaviour

```
float sqrt (float x); pre: x \ge 0
post: |result^2 - x| < \varepsilon
```

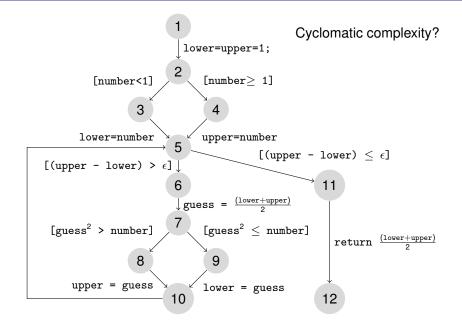
Test cases from valid equivalence classes:

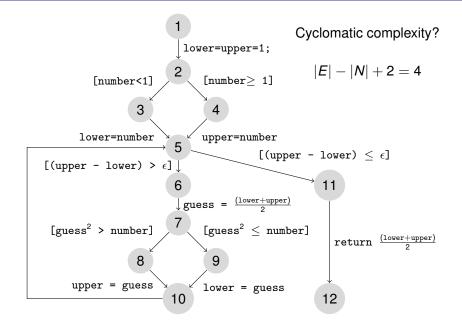
► +0, -0, FLT\_MAX, FLT\_EPSILON, 15.3

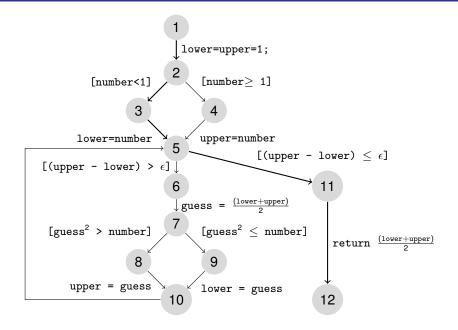
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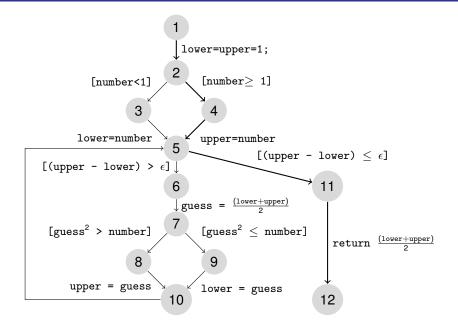
- ► FLT\_MIN, -FLT\_EPSILON, -7.9
- ► -∞, +∞
- NaN

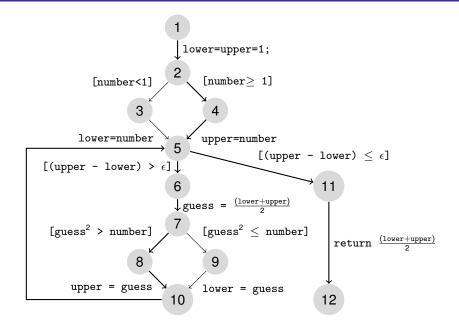
```
float sqrt (float number) {
  float lower = 1, upper = 1, guess;
  if (number < 1)
   lower = number;
  else
    upper = number;
  while ((upper - lower) > EPSILON) {
    guess = (lower + upper) / 2;
    if (guess*guess > number)
      upper = guess;
    else
      lower = guess;
  }
 return (lower + upper) / 2;
}
```

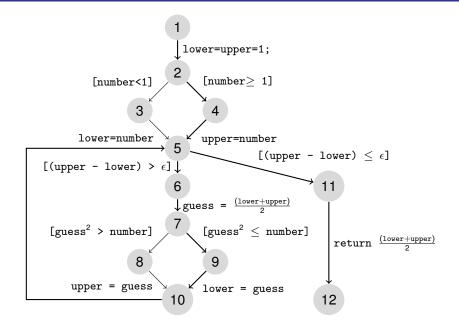












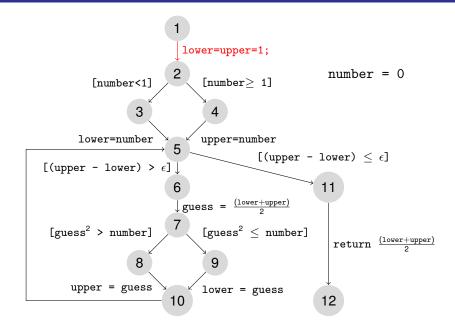
- cyclomatic complexity = max # linearly independent paths
- linearly independent <sup>def</sup>/<sub>=</sub> contains (at least) one edge not covered by other paths

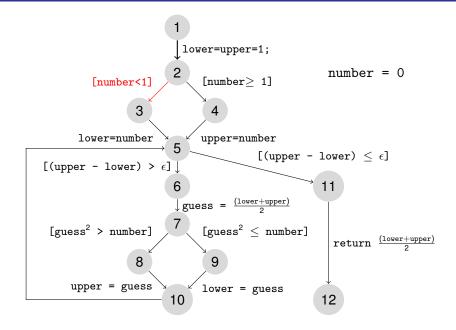
$$1 \rightarrow 2 \rightarrow 3 \rightarrow 5 \rightarrow 11 \rightarrow 12$$
  
$$1 \rightarrow 2 \rightarrow 4 \rightarrow 5 \rightarrow 6 \rightarrow 7 \rightarrow 8 \rightarrow 10 \rightarrow 5 \rightarrow 11 \rightarrow 12$$
  
$$1 \rightarrow 2 \rightarrow 4 \rightarrow 5 \rightarrow 6 \rightarrow 7 \rightarrow 9 \rightarrow 10 \rightarrow 5 \rightarrow 11 \rightarrow 12$$

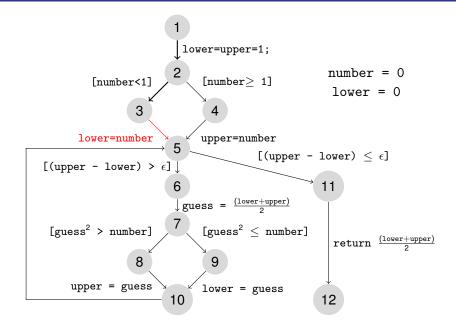
think of linear algebra and linearly independent equations

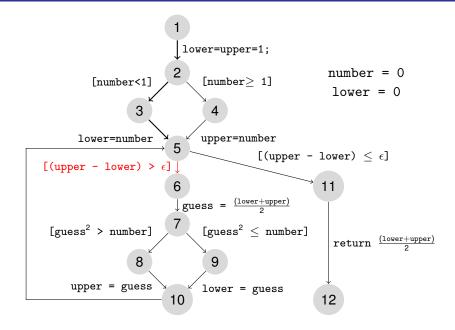
- upper bound of test-cases necessary to test all branches
- in our case, 2 paths are enough:
  - $\blacktriangleright 1 \rightarrow 2 \rightarrow 4 \rightarrow 5 \rightarrow 6 \rightarrow 7 \rightarrow 9 \rightarrow 10 \rightarrow 10 \rightarrow 5 \rightarrow 11 \rightarrow 12$
  - $\blacktriangleright 1 \rightarrow 2 \rightarrow 3 \rightarrow 5 \rightarrow 6 \rightarrow 7 \rightarrow 8 \rightarrow 10 \rightarrow 10 \rightarrow 5 \rightarrow 11 \rightarrow 12$

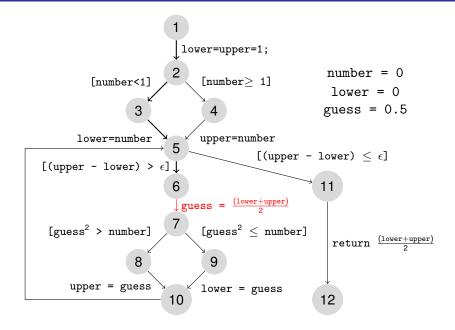
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- Do our test-cases cover all branches?

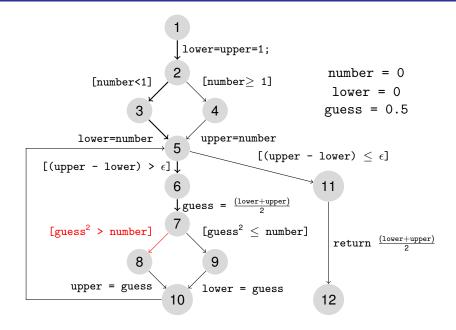


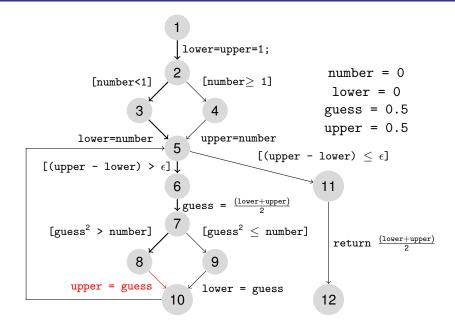


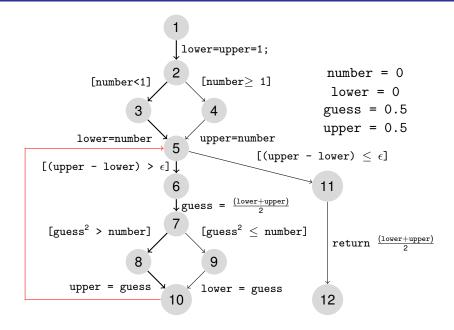


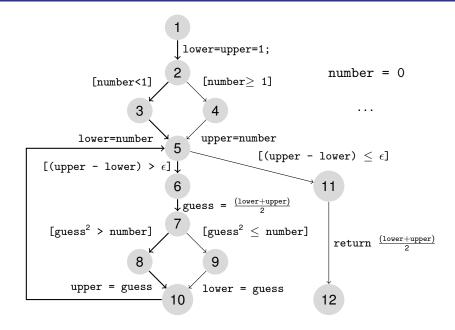


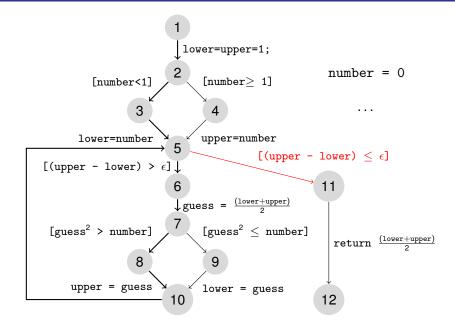


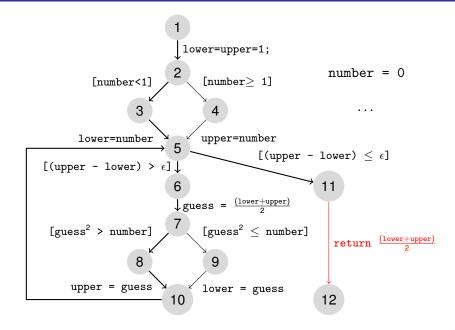


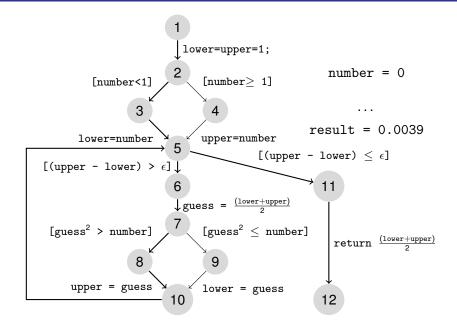












Test case 0 traversed

- ▶  $2 \rightarrow 3 \rightarrow 5$ ,
- ▶  $7 \rightarrow 8 \rightarrow 10$ , and
- ▶  $5 \rightarrow 11 \rightarrow 12$
- It did not traverse
  - $\blacktriangleright~2\rightarrow4\mathop{\longrightarrow}5$  and
  - ▶  $7 \rightarrow 8 \rightarrow 10$

Could we have predicted that one test case is not enough?

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- It did not traverse
  - ▶  $2 \rightarrow 4 \rightarrow 5$  and
  - ▶  $7 \rightarrow 8 \rightarrow 10$
- Could we have predicted that one test case is not enough?
  - Not without knowing the implementation!

- Reasonable to assume that "all of the code" should be tested!
- We need at least one additional test cases!

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- We need at least one additional test cases!
  - Let's have a look at 15.3, ok?

This is tedious, can't we automate this?

- gcc -g -fprofile-arcs -ftest-coverage -o sqrt sqrt.c
  (use clang instead of gcc on newer Macs)
- gcov sqrt
- cat sqrt.c.gcov
- ./sqrt ; gcov sqrt
- cat sqrt.c.gcov

## **Coverage information for** sqrt(0.0)

```
1:
        6:float squrt (float number) {
   1: 7: float lower = 1, upper = 1, guess;
   -: 8:
   1: 9: if (number < 1)
   1: 10: lower = number; // sqrt < 1, but > number
   -: 11: else
#####: 12: upper = number; // sqrt > 1, but < number</pre>
   -: 13:
   9: 14: while ((upper - lower) > EPSILON) {
   7: 15: guess = (lower + upper) / 2;
   7: 16: if (guess*guess > number)
   7: 17: upper = guess;
   -: 18: else
#####: 19: lower = guess;
   7: 20: }
   1: 21: return (lower + upper) / 2;
   -: 22:
```

## **Coverage information for** sqrt(15.3)

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   -: 13:
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  11: 15: guess = (lower + upper) / 2;
  11: 16: if (guess*guess > number)
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  - How many different inputs are there to our AVL implementation?
- Maybe visit all possible states?

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global variables

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How many possible states are there?

•  $\infty$ , in theory

How about finite state programs?

Assume that there are only *n* different elements that we can insert into our AVL tree.

Element	1	2	 n
Inserted	$\checkmark$	X	 $\checkmark$

Finitely many states for infinitely test scenarios

How about finite state programs?

Assume that there are only *n* different elements that we can insert into our AVL tree.

Element	1	2	 n
Inserted	$\checkmark$	X	 $\checkmark$

- Finitely many states for infinitely test scenarios
  - But still 2<sup>n</sup> possible sets (and even more trees)!

But aren't many trees "similar"?

Elements	1	2	3	4	5
State 1	$\checkmark$	$\checkmark$	X	X	X
State 2	X	$\checkmark$	$\checkmark$	X	X

- Maybe, we don't need to "cover" all of them?
- What is the problem with this argument?

But aren't many trees "similar"?

Elements	1	2	3	4	5
State 1	$\checkmark$	$\checkmark$	X	X	X
State 2	X	$\checkmark$	$\checkmark$	X	X

- Maybe, we don't need to "cover" all of them?
- What is the problem with this argument?
  - it is not formally proven (maybe even wrong)
  - it is specific to one program

## **Coverage Criteria**

Common agreement on what "sufficiently tested" means

- coverage criteria are about confidence, trust
- required for certification (according to industry standards)
- Important: achieving coverage is not a goal in itself
  - "The journey is the reward:" Testing until coverage is reached
  - Test-cases should be generated from requirements

## Coverage criteria define equivalence classes with respect to program behaviour

- Control flow-based coverage
  - Path coverage
  - Statement/basic block coverage
  - Branch coverage
  - Decision coverage
  - Condition coverage
  - Condition/Decision coverage
  - Modified condition/decision coverage (MC/DC)
  - Multiple decision coverage
- Data flow-based coverage
  - Definition/use pairs
- Mutation testing

▶ ...

## Goal: Execute every path of the program

- Independently of the variable values along that path
- Every path is an equivalence class

What's the number of paths through the following program?

```
while (1) {
   if (getchar() == EOF)
        break;
}
```

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What's the number of paths through the following program?

```
while (1) {
   if (getchar() == EOF)
        break;
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```

In general, path coverage can't be achieved

- Goal: Execute every program statement at least once
  - All paths visiting that statement build equivalence class
- Bad criterion:
  - consider test case x = 5 for following code fragment:

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  - consider test case x = 5 for following code fragment:

```
if (x > 1) {
    x++;
}
int y = x/y;
```

- All statements executed, but else branch never taken
- May not exercise all outcomes of a conditional statement

- Goal: Execute all branches in a program
  - Equivalence class: paths execute a certain branch
- Usually implies statement coverage (but see comments later)
- C.f. cyclomatic complexity

Goal: Exercise every decision outcome at least once

- decision is a "Boolean expression composed of conditions and zero or more Boolean operators"
- Equivalence class: paths in which decision evaluates to same value
- Subtly different from "branch coverage"
  - Vacuously true for the following program:

```
x = y;
x++;
```

- all decisions covered even without testing
- Therefore, does not imply statement coverage

Danger, Will Robinson:

## branch coverage $\neq$ condition coverage

At least not in general!

- Numerous subtle differences
- Inconsistent definitions (in industry standards)
- In particular, neither metric subsumes the other

- branch (1) (software). (A) A computer program construct in which one of two or more alternative sets of programs statements is selected for execution. (B) A point in a computer program at which one of two or more alternative sets of program statements is selected for execution. Syn: branchpoint. [...]
- branch testing. Testing designed to execute each outcome of each decision point in a computer program. Contrast with: path testing; statement testing.

IEEE Std 100-1992 Standard Dictionary of Electrical and Electronic Terms

### imprecise definitions of "branch"

- Some definitions may or may not include
  - unconditional branches (goto)
  - function calls
  - fall-throughs (in switch/case constructs
- Example:



- Contains no decisions
- But: contains a non-conditional branch

### expressions with side effects

Consider the following example:

```
if ((y > 1) && (( z > 1) || foo())
  x = y;
else
  x = z;
```

- "Decision" evaluates to true if y > 1 and z > 1
- "Decision" evaluates to false if z <= 1</p>
- foo is never executed (short-circuited evaluation!)
- covered by branch coverage, if function call a is branch

- "Decision" is defined as "Boolean expression"
  - not necessarily only at branching points!

- Strictly speaking, have to cover every outcome of x>0
- E.g., enforced in DO-178B standard
- This code doesn't contain any branch!

Branch coverage implies decision coverage

 if "decision" means Boolean expressions at branching points only

Decision coverage is stronger than branch coverage

- if "branch" doesn't include unconditional jumps
- ▶ if "decision" refers to *all* Boolean expressions

Often branch and decision outcome are used synonymously

Branch coverage implies decision coverage

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Often branch and decision outcome are used synonymously

Meaning varies, depending on industry standard that applies

Also: interpreted differently by different coverage tools

Goal: Exercise every sub-expression/atom/condition outcome

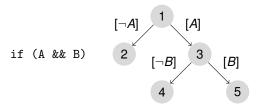
- atom is a Boolean expression not containing Boolean operators (e.g., &&, ||)
- Equivalence class: paths in which condition evaluates to same value
- Does not imply decision coverage!
  - Consider the following program fragment:

```
if ((x > 0) && (y > 0))
x++;
```

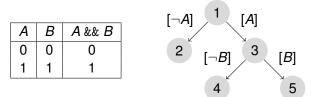
- ▶ Inputs: x = 5, y = -3 and x = -1 and y = 2
- All condition outcomes considered, but decision always false

### **Coverage Criteria: Condition Coverage**

- Goal: Exercise every sub-expression/atom/condition outcome
  - atom is a Boolean expression not containing Boolean operators (e.g., &&, ||)
  - Equivalence class: paths in which condition evaluates to same value
- Can be considered as path partitioning if evaluation follows some order
  - think of generated intermediate representation



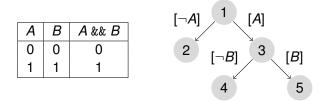
- Combination of decision and condition coverage
  - Cover all condition outcomes
  - Cover all decision outcomes
- not all branches in intermediate code might be executed!
- Consider the following cases:



• Coverage criterion is satisfied;  $1 \rightarrow 3 \rightarrow 4$  never executed!

- Each condition outcome must affect the decision outcome independently
  - "fix" the value of all conditions in a decision except for one
  - flipping that one decision must change the decision outcome
  - each outcome of the condition must influence the outcome of the decision at least once

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- MC/DC not satisfied: neither A = 0 nor B = 0 influence outcome 0 of A && B independently!
  - ▶ need to add A = 0, B = 1 and A = 1, B = 0

### Coverage Criteria: MC/DC as defined in DO-178B

- 1. Every entry and exit point in the program has to be visited
- 2. Every conditional statement (i.e., branchpoint) has to take all possible outcomes (i.e., branches)
- 3. Every non-constant Boolean expression has to evaluate at least once to 1 and at least once to 0
- 4. Every non-constant condition in a Boolean expression has to evaluate at least once to 1 and at least once to 0
- 5. Every non-constant condition in a Boolean expression has to affect that expression's outcome independently
- Decision coverage requires (1, 2, 3)
- Decision/Condition coverage requires (1, 2, 3, 4)
- MC/DC requires 1 through 5
  - Note: equating branch and decision coverage violates MC/DC definition in DO-178B

# **DO-178B** (Software Considerations in Airborne Systems and Equipment Certification)

- Safety standard
- Used for certification of safety critical software
- defines levels of criticality depending on potential damage of fault:
  - catastrophic
  - hazardrous/sever-major
  - major
  - minor
- ► Defines corresponding criticality levels A, B, C, D

For certification, following coverage criteria apply:

Α	MC/DC
В	Decision and Statement coverage
С	Statement coverage
D	None

 (also specifies other criteria, e.g., documentation, traceability of requirements to test-cases, etc.)

### **Coverage Criteria: Multiple Condition Coverage**

- All combinations of conditions in each decision have to be tested
- ► Consider the expression (A || B) && C
  - Condition/Decision coverage:



MC/DC (bold values influence decision outcome):

В	С
0	1
0	1
1	1
1	0

Multiple condition overage: all 2<sup>3</sup> combinations!

### Control flow-based coverage

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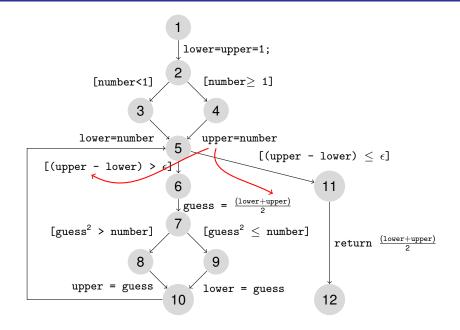
## Data flow-based coverage

- Definition/use pairs
- Mutation testing

▶ ...

- Data flow: how do values propagate through program?
  - definition: assignment of a value to a variable
  - use: statement where the value is read
  - def-use chain: cycle-free path, first statement defines value, last statement uses value; value not re-defined in between

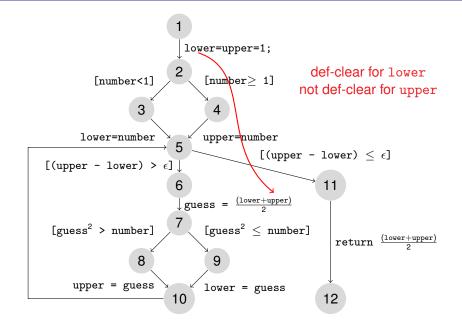
#### **Coverage Criteria: Data-Flow**



### Definitions can "flow into"

- Boolean expressions ("predicates") in conditional statements
- variables used to define ("compute") other values (right-hand-side of assignment)
- Some notation:
  - defs(x): locations where x is defined
  - p-use(x): locations where x is used in predicate
  - c-use(x): locations where x is used to compute other value
- A path is def-clear for x if
  - it traverses no location twice (exception: if first = last location)
  - x may not be re-defined between first and last node

#### **Coverage Criteria: Data-Flow**



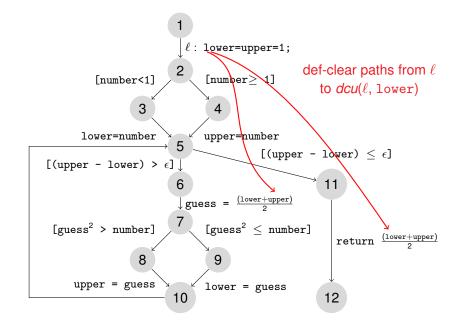
dpu(ℓ, x) locations ℓ' ∈ p-use(x) such that there is a def-clear path from ℓ to ℓ'

This are the locations which use  ${\bf x}$  in a predicate and can potentially be influenced by the definition of  ${\bf x}$  at  $\ell$ 

b dcu(ℓ, x) locations ℓ' ∈ c-use(x) such that there is a def-clear path from ℓ to ℓ'

This are the locations which use  ${\bf x}$  in a computations and can potentially be influenced by the definition of  ${\bf x}$  at  $\ell$ 

#### Coverage Criteria: Data-Flow, Example for dcu



For each definition of variable x and for every  $\ell \in \mathsf{defs}(x),$  the test suite traverses:

▶ all-defs: one path to some  $\ell' \in (dpu(\ell, x) \cup dcu(\ell, x))$ 

 $\Rightarrow$  all definitions get used

▶ all-c-uses: one path to each  $\ell' \in \mathsf{dcu}(\ell, x)$ 

 $\Rightarrow$  all computations affected by each definition are executed

▶ all-p-uses: one path to each  $\ell' \in dpu(\ell, x)$ 

 $\Rightarrow$  all decisions affected by each definition are executed

all-c-uses/some-p-uses: one path to each l' ∈ dcu(l, x), but if dcu(l, x) = Ø, then at least one path to l' ∈ dpu(l, x)

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all-p-uses/some-c-uses: one path to each ℓ' ∈ dpu(ℓ, x), but if dpu(ℓ, x) = ∅, then at least one path to ℓ' ∈ dcu(ℓ, x)

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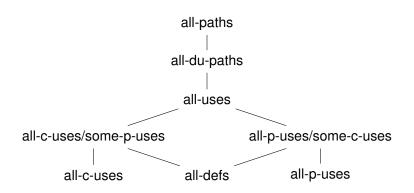
▶ all-uses: one path to *each* node  $\ell' \in (dpu(\ell, x) \cup dcu(\ell, x))$ 

 $\Rightarrow$  every computation and decision affected by definition executed

▶ all-du-paths: all paths to each node  $\ell' \in (dpu(\ell, x) \cup dcu(\ell, x))$ 

 $\Rightarrow$  like above, but *all* def-use paths

### **Subsumption Lattice**



- Data-flow criteria track dependencies between variables
- Set of all pairs can be approximated by static analysis
  - typically covered in course on compiler design

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  - After designing a test-suite, mutants are applied one-by-one
  - Each mutant should be caught (*killed*) by one of the test cases!

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- Idea: inject bugs into program Mutation Testing
  - Uses a set of program mutations ("mutants")
  - After designing a test-suite, mutants are applied one-by-one
  - Each mutant should be caught (*killed*) by one of the test cases!
- Typical mutations: simple syntactic modifications
  - Delete a statement
  - Change && to ||, to +, < to <=, ...</p>
  - Replace variables with others in scope

### Weak mutation testing

Test case must trigger the injected fault and result in an error

Strong mutation testing Test case must trigger the injected fault and result in a failure

- Obstacles:
  - Equivalent mutants: Some faults can't be triggered (e.g., changing == to <= in for (i=10; i==0; i--))</p>
  - Also, most "real world" bugs aren't that simple (does mutation testing evaluate the ability of a test-suite to catch "real" bugs?)

# Fuzzing: a variation of Mutation Testing

- "mutate" (or randomly vary) input data
- monitor program for resulting crashes, failed assertions, memory leaks
- ► c.f. fault injection

- Coverage criteria for when program is "sufficiently" tested
- Widely used, also in certification of safety critical systems
- Are effectively a confidence measure
  - do not guarantee that program is bug-free
  - also, some of the definitions are *ambiguous*
- Never forget:
  - ► Test-case generation driven by specification, *not* by coverage!