

Programm- & Systemverifikation

Assertions & Testing: Exercises

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184.741



- ▶ How bugs come into being:
 - ▶ **Fault** – cause of an error (e.g., mistake in coding)
 - ▶ **Error** – *incorrect* state that may lead to failure
 - ▶ **Failure** – deviation from *desired* behaviour
- ▶ We specified *intended* behaviour using **assertions**
- ▶ We proved our programs correct (**inductive invariants**).
- ▶ Coverage Metrics tell us when to stop testing.
- ▶ Heard about Automated Test-Case Generation.

More Examples and Exercises for

- ▶ Bugs
- ▶ Assertions
- ▶ Testing
- ▶ Test Case Generation
- ▶ Inductive Invariants

Spot the Bug

```
struct {
    HeartbeatMessageType type;
    uint16 payload_length;
    opaque payload[HeartbeatMessage.payload_length];
    opaque padding[padding_length];
} HeartbeatMessage;
/* ... */
/* Read type and payload length first */
hbtype = *p++;
n2s(p, payload); /* puts 2 bytes of p into payload */
p1 = p;
/* ... */
if (hbtype == TLS1_HB_REQUEST) {
    unsigned char *buffer, *bp;
    int r;
    buffer = OPENSSL_malloc(1+2+payload+padding);
    bp = buffer;
    *bp++ = TLS1_HB_RESPONSE;
    s2n(payload, bp); /* puts 16-bit value into bp */
    memcpy(bp, p1, payload);
    r = ssl3_write_bytes(s, TLS1_RT_HEARTBEAT, buffer,
        3+payload+padding);
}
```



- ▶ TLS heartbeat mechanism keeps connections alive
 - ▶ receiver *must* send a corresponding response carrying an exact copy of the payload of the received request
- ▶ payload is trusted without bounds check
- ▶ attacker can request slice of memory up to 2^{16} bytes, obtain
 - ▶ long-term server private keys
 - ▶ TLS session keys
 - ▶ confidential data like passwords
 - ▶ session ticket keys
- ▶ affected version: OpenSSL 1.0.1 through 1.0.1f

Assertions as formal specifications

- ▶ Assume:

`unsigned isqrt (unsigned x)`

computes largest *integer* square root of x

- ▶ Write assertion that fails if result is wrong!

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computes largest *integer* square root of `x`

- ▶ Write assertion that fails if result is wrong!

```
unsigned r = isqrt (x);  
assert (r*r <= x && x <= (r+1)*(r+1));
```

- ▶ Assume:

`unsigned isqrt (unsigned x)`
computes largest *integer* square root of `x`

- ▶ Write assertion that fails if result is wrong!

```
unsigned r = isqrt (x);  
assert (r*r <= x && x <= (r+1)*(r+1));
```

- ▶ Note: Assertion doesn't tell us how `isqrt` works!

- ▶ Assume:

 unsigned gcd (unsigned x, unsigned y)

 computes *greatest common divisor* of x and y

- ▶ Write assertion that fails if result is wrong!

 unsigned r = gcd (x, y);

 ...

```
unsigned r = gcd (x, y);  
...
```

What are the properties of the greatest common divisor r ?

```
unsigned r = gcd (x, y);  
...
```

What are the properties of the greatest common divisor r ?

- ▶ `(x % r == 0) && (y % r == 0)`

```
unsigned r = gcd (x, y);  
assert ((x % r == 0) && (y % r == 0));
```

What are the properties of the greatest common divisor r ?

- ▶ $(x \% r == 0) \ \&\& \ (y \% r == 0)$

```
unsigned r = gcd (x, y);  
assert ((x % r == 0) && (y % r == 0));
```

What are the properties of the greatest common divisor r ?

- ▶ $(x \% r == 0) \ \&\& \ (y \% r == 0)$
- ▶ Is this *sufficient*?

```
unsigned r = gcd (x, y);  
assert ((x % r == 0) && (y % r == 0));
```

What are the properties of the greatest common divisor r ?

- ▶ $(x \% r == 0) \ \&\& \ (y \% r == 0)$
- ▶ Is this *sufficient*?
 - ▶ What if `gcd (12, 36)` returns 3?

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));
```

Properties of r (for $r = \text{gcd}(x, y)$)

- ▶ $\text{IS_CD}(r, x, y)$
- ▶ $\nexists t \in \mathbb{N}. \text{IS_CD}(t, x, y) \wedge (t > r)$

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
unsigned r = gcd (x, y);  
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Properties of r (for $r = \text{gcd}(x, y)$)

- ▶ $\text{IS_CD}(r, x, y)$
- ▶ $\nexists t \in \mathbb{N}. \text{IS_CD}(t, x, y) \wedge (t > r)$
 - ▶ C++ doesn't have quantifiers
 - ▶ \mathbb{N} has infinitely many elements


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 - ▶ C++ doesn't have quantifiers
 - ▶ \mathbb{N} has infinitely many elements
 - ▶ What else do we know about %?

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));
```

Properties of r (for $r = \text{gcd}(x, y)$)

- ▶ $\text{IS_CD}(r, x, y)$
- ▶ $\nexists t \in \mathbb{N}. \text{IS_CD}(t, x, y) \wedge (t > r)$
 - ▶ C++ doesn't have quantifiers
 - ▶ \mathbb{N} has infinitely many elements
 - ▶ What else do we know about %?
- ▶ $(r > y) \Rightarrow (y \% r = y)$

Assertions as formal specifications

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));
```

Properties of r (for $r = \text{gcd}(x, y)$)

- ▶ $\text{IS_CD}(r, x, y)$
- ▶ $\nexists t \in \mathbb{N}. \text{IS_CD}(t, x, y) \wedge (t > r)$
 - ▶ C++ doesn't have quantifiers
 - ▶ \mathbb{N} has infinitely many elements
 - ▶ What else do we know about %?
- ▶ $(r > y) \Rightarrow (y \% r = y)$
 - ▶ therefore, $r \leq \min(x, y)$

Assertions as formal specifications

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));
```

Properties of r (for $r = \text{gcd}(x, y)$)

- ▶ $\text{IS_CD}(r, x, y)$
- ▶ $\nexists t \in \mathbb{N}. \text{IS_CD}(t, x, y) \wedge (t > r) \wedge (t \leq \min(x, y))$
 - ▶ C++ doesn't have quantifiers
 - ▶ \mathbb{N} has infinitely many elements
 - ▶ What else do we know about %?
- ▶ $(r > y) \Rightarrow (y \% r = y)$
 - ▶ therefore, $r \leq \min(x, y)$

Assertions as formal specifications

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
#define min(x, y) (((x)<(y))?(x):(y))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));
```

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
#define min(x, y) (((x)<(y))?(x):(y))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));  
assert ( $\nexists t \in \mathbb{N}. \text{IS\_CD}(t, x, y) \wedge (t > r) \wedge (t \leq \text{min}(x, y))$ );
```

- What about the quantifier?

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
#define min(x, y) (((x)<(y))?(x):(y))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));  
assert ( $\nexists t \in \mathbb{N}. \text{IS\_CD}(t, x, y) \wedge (t > r) \wedge (t \leq \text{min}(x, y))$ );
```

- What about the quantifier?
 - $r < t \leq \text{min}(x, y)$, we can use a loop!

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))
#define min(x, y) (((x)<(y))?(x):(y))
unsigned r = gcd (x, y);
assert (IS_CD(r, x, y));
for (unsigned t=r+1; t <= min(x, y); t++)
    assert (!IS_CD(t, x, y));
```

- Does not make assumptions about implementation


```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
#define min(x, y) (((x)<(y))?(x):(y))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));  
for (unsigned t=r+1; t <= min(x, y); t++)  
    assert (!IS_CD(t, x, y));
```

- ▶ Does not make assumptions about implementation
- ▶ Admittedly, not very efficient
 - ▶ Only for testing!
 - ▶ Turn it off in release version.

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))
#define min(x, y) (((x)<(y))?(x):(y))
unsigned r = gcd (x, y);
assert (IS_CD(r, x, y));
for (unsigned t=r+1; t <= min(x, y); t++)
    assert (!IS_CD(t, x, y));
```

- ▶ This specification is not *executable*
- ▶ But very close to full-blown (inefficient) implementation

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))  
#define min(x, y) (((x)<(y))?(x):(y))  
unsigned r = gcd (x, y);  
assert (IS_CD(r, x, y));  
for (unsigned t=r+1; t <= min(x, y); t++)  
    assert (!IS_CD(t, x, y));
```

- ▶ This specification is not *executable*
- ▶ But very close to full-blown (inefficient) implementation
 - ▶ We can implement a “prototype”

Assertions as formal specifications

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))
#define min(x, y) (((x)<(y))?(x):(y))
unsigned gcd (x, y) {
    for (unsigned t = min(x, y); t > 0; t--) {
        if (IS_CD(t, x, y))
            return t;
    }
}
```

Assertions as formal specifications

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))
#define min(x, y) (((x)<(y))?(x):(y))
unsigned gcd (x, y) {
    for (unsigned t = min(x, y); t > 0; t--) {
        if (IS_CD(t, x, y))
            return t;
    }
}
```

- Wait, can we reach end of function without return?

Assertions as formal specifications

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))
#define min(x, y) (((x)<(y))?(x):(y))
unsigned gcd (x, y) {
    for (unsigned t = min(x, y); t > 0; t--) {
        if (IS_CD(t, x, y))
            return t;
    }
    return max(x, y);
}
```

- ▶ Wait, can we reach end of function without return?
 - ▶ Yes, if $\min(x, y) = 0$
 - ▶ In this case, return $\max(x, y)$ (since $\gcd(0, x) = x$)

```
#define IS_CD(r, x, y) (((x)%(r)==0) && ((y)%(r)==0))
#define min(x, y) (((x)<(y))?(x):(y))
unsigned gcd (x, y) {
    for (unsigned t = min(x, y); t > 0; t--) {
        if (IS_CD(t, x, y))
            return t;
    }
    return max(x, y);
}
```

- ▶ This implementation is inefficient!
 - ▶ But we can use it as a prototype!

```
using System;

public class Program {

    public static bool
        is_cd (uint r, uint x, uint y) {
        return ((x % r == 0) && (y % r == 0));
    }

    public static uint Puzzle (uint x, uint y) {
        uint t = Math.Min (x, y);
        for (; t > 0; t--) {
            if (is_cd (t, x, y))
                return t;
        }
        return Math.Max (x, y);
    }
}
```


How to turn C# implementation of GCD into a Coding Duel

- ▶ We can use this as “secret implementation”
 - ▶ Go to <http://www.pexforfun.com>, log in
 - ▶ Click on the Learn button
 - ▶ Choose “Creating and Publishing Coding Duels”
 - ▶ Under **Step Two: Write a Specification**
 - ▶ There's a link to a *puzzle template*
 - ▶ Copy and paste the code; then Ask Pex!
 - ▶ You can now enter a *Coding Duel Name* and
 - ▶ Turn This Puzzle Into A Coding Duel
 - ▶ You will get a link for this new puzzle

How to turn C# implementation of GCD into a Coding Duel

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- ▶ If pexforfun.com complains about path length:
 - ▶ Add `PexAssume.IsTrue (x < 100 && y < 100);` as pre-condition and using `Microsoft.Pex.Framework;` in preamble

Greatest Common Divisor on PexForFun

Welcome new user! Edit [Settings](#):
Show your nickname.

Curious?
Learn More!



1,203,648 clicked 'Ask Pex!'

Coding Duel
for fun

[My Duels](#) | [Settings](#) | [Sign Out](#)
gweissen: 0
2 started, 0 won, 2 created

[Random Puzzle](#) [Learn](#) [APCS](#) [New](#)

[C#](#) [Visual Basic](#) [F#](#)

Coding Duel Name: "Greatest Common Divisor" You created this Coding Duel. Winning Number: 025-836-661

```
using System;

public class Program
{
    // Can you fill the puzzle method to match the secret implementation?
    public static uint Puzzle(uint x, uint y)
    {
        throw new NotImplementedException();
    }
}
```

Ask Pex!

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Euclid's Algorithm

```
public static uint Puzzle (uint x, uint y)
{
    uint k = x;
    uint m = y;

    while (k != m) {
        if (k > m) {
            k = k - m;
        }
        else {
            m = m - k;
        }
    }
    return k;
}
```

Euclid's Algorithm

```
public static uint Puzzle (uint x, uint y)
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    uint k = x;
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    while (k != m) {
        if (k > m) {
            k = k - m;
        }
        else {
            m = m - k;
        }
    }
    return k;
}
```

- Why does this work?

Euclid's Algorithm: Correctness

```
uint k = x;
uint m = y;

while (k != m) {
    if (k > m) k = k - m;
    else m = m - k;
}
return k;
```

Properties of gcd:

- ▶ If $x = y$, then $\text{gcd}(x, y) = \text{gcd}(x, x) = x$
- ▶ If $x > y$, then $\text{gcd}(x, y) = \text{gcd}(x - y, y)$

Euclid's Algorithm: Correctness

If $x > y$, then $\gcd(x, y) = \gcd(x - y, y)$. Proof:

- Suppose $\text{IS_CD}(r, x, y)$. Then

$$\exists n, m. (x = n \cdot r) \wedge (y = m \cdot r)$$

Therefore,

$$x - y = n \cdot r - m \cdot r = (n - m) \cdot r$$

and thus $((x - y) \% r) = 0$.

Euclid's Algorithm: Correctness

If $x > y$, then $\text{gcd}(x, y) = \text{gcd}(x - y, y)$. Proof:

- Suppose $\text{IS_CD}(r, x, y)$. Then

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- Using similar reasoning, we can also show that

$$\text{IS_CD}(r, x - y, y) \Rightarrow \text{IS_CD}(r, x, y).$$

Euclid's Algorithm: Correctness

If $x > y$, then $\gcd(x, y) = \gcd(x - y, y)$. Proof:

- Suppose $\text{IS_CD}(r, x, y)$. Then

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Therefore,

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and thus $((x - y) \% r) = 0$.

- Using similar reasoning, we can also show that

$$\text{IS_CD}(r, x - y, y) \Rightarrow \text{IS_CD}(r, x, y).$$

- Therefore

$$\{r \mid \text{IS_CD}(r, x, y)\} = \{r \mid \text{IS_CD}(r, x - y, y)\}$$

Euclid's Algorithm: Correctness

If $x > y$, then $\text{gcd}(x, y) = \text{gcd}(x - y, y)$. Proof:

- Suppose $\text{IS_CD}(r, x, y)$. Then

$$\exists n, m. (x = n \cdot r) \wedge (y = m \cdot r)$$

Therefore,

$$x - y = n \cdot r - m \cdot r = (n - m) \cdot r$$

and thus $((x - y) \% r) = 0$.

- Using similar reasoning, we can also show that

$$\text{IS_CD}(r, x - y, y) \Rightarrow \text{IS_CD}(r, x, y).$$

- Therefore

$$\{r \mid \text{IS_CD}(r, x, y)\} = \{r \mid \text{IS_CD}(r, x - y, y)\}$$

- In particular, the largest element in both sets is the same

Euclid's Algorithm

```
public static uint Puzzle (uint x, uint y)
{
    uint k = x;
    uint m = y;

    while (k != m) {
        if (k > m) {
            k = k - m;
        }
        else {
            m = m - k;
        }
    }
    return k;
}
```

Euclid's Algorithm

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```

- We can copy and paste this into PexForFun

Euclid's Algorithm

```
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    uint k = x;
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    while (k != m) {
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            k = k - m;
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        else {
            m = m - k;
        }
    }
    return k;
}
```

- We can copy and paste this into PexForFun

	x	y	your result	secret implementation result	Output/Exception
✓	0	0	0	0	
⚠	0	1			path bounds exceeded Help
✓	2	1	1	1	

Pex found some inputs that caused your code to run too long. Improve your code, so that it matches the other implementation, and 'Ask Pex!' again.

- ▶ Pex complains about $x=k=0, y=m=1$
 - ▶ What happens in this case?

```
while (k != m) {  
    if (k > m) {  
        k = k - m;  
    }  
    else {  
        m = m - k;  
    }  
}
```

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- ▶ Pex complains about $x=k=0, y=m=1$
 - ▶ What happens in this case?

```
while (k != m) {  
    if (k > m) {  
        k = k - m;  
    }  
    else {  
        m = m - k;  
    }  
}
```

- ▶ Number of loop iterations: ∞

Euclid's Algorithm

```
public static uint Puzzle(uint x, uint y)
{
    uint k = x;
    uint m = y;

    if ((x == 0) || (y == 0))
        return Math.Max(x, y);

    while (k != m) {
        if (k > m) {
            k = k - m;
        }
        else {
            m = m - k;
        }
    }
    return k;
}
```


Euclid's Algorithm

Pex found some inputs that caused your code to run too long.

Improve your code, so that it matches the other implementation, and 'Ask Pex!' again.

	x	y	your result	secret implementation result	Output/Exception
✓	0	0	0	0	
✓	2147483649	0	0	0	
✓	2	1	1	1	
✓	2	3	1	1	
✓	82	246	82	82	
⚠	905	2			<i>path bounds exceeded</i> Help
✓	512	31	1	1	

The program is correct; What's the problem?

- ▶ `pexforfun.com` limits the path length for TCG
- ▶ For 905 and 2, Euclid's algorithm loops 453 times

The program is correct; What's the problem?

- ▶ `pexforfun.com` limits the path length for TCG
- ▶ For 905 and 2, Euclid's algorithm loops 453 times
- ▶ Maybe there is a more efficient algorithm?

The program is correct; What's the problem?

- ▶ `pexforfun.com` limits the path length for TCG
- ▶ For 905 and 2, Euclid's algorithm loops 453 times
- ▶ Maybe there is a more efficient algorithm?
 - ▶ Euclid's `gcd` deducts 2 from 905 452 times
 - ▶ $905 \% 2$ would yield the same result in one step!
 - ▶ Can also avoid $k > m$ comparison by swapping values!

Euclid's Algorithm

```
public static uint Puzzle(uint x, uint y)
{
    uint k = Math.Max(x,y);
    uint m = Math.Min(x,y);

    while (m != 0) {
        uint r = k % m;
        k = m;
        m = r;
    }

    return k;
}
```

- Now pexforfun.com is pleased with the result

	x	y	your result	secret implementation result
✓	0	0	0	0
✓	1	1	1	1
✓	905	2	1	1
✓	2	3	1	1
✓	512	31	1	1

Euclid's Algorithm

- ▶ Now `pexforfun.com` is pleased with the result

	x	y	your result	secret implementation result
✓	0	0	0	0
✓	1	1	1	1
✓	905	2	1	1
✓	2	3	1	1
✓	512	31	1	1

- ▶ But are we pleased with these test cases?
 - ▶ What's the coverage?

```
#include <assert.h>
#define MIN(x, y) ((x)<(y))?(x):(y)
#define MAX(x, y) ((x)<(y))?(y):(x)

unsigned gcd (unsigned x, unsigned y)
{
    unsigned k = MAX (x,y);
    unsigned m = MIN (x,y);
    while (m != 0) {
        unsigned r = k % m;
        k = m; m = r;
    }
    return k;
}

int main(int argc, char** argv)
{
    assert (gcd (0,0) == 0);
    assert (gcd (1,1) == 1);
    assert (gcd (905,2) == 1);
    assert (gcd (905,2) == 1);
    assert (gcd (2,3) == 1);
    assert (gcd (512,31) == 1);
}
```

GCOV Usage Revisited

- ▶ `gcc -g -fprofile-arcs -ftest-coverage -o gcd gcd.c`
(use `clang` instead of `gcc` on newer Macs)
- ▶ `gcov -b gcd`
- ▶ `cat gcd.c.gcov`
- ▶ `./gcd ; gcov -b gcd`
- ▶ `cat gcd.c.gcov`

GCOV Results

```
function gcd called 6 returned 100% blocks executed 100%
    6:      5: unsigned gcd (unsigned x, unsigned y)
    -:      6: {
18:      7:  unsigned k = MAX (x,y);
18:      8:  unsigned m = MIN (x,y);
branch 0 taken 17%
branch 1 taken 83%
    23:      9:  while (m != 0) {
branch 0 taken 65%
branch 1 taken 35%
    11:     10:      unsigned r = k % m;
    11:     11:      k = m; m = r;
    11:     12:  }
    6:     13:  return k;
    -:     14: }
```

Why is GCOV ...

- ▶ reporting two branches?
 - ▶ Remember that the macros `MAX` and `MIN` both hide the same branch
- ▶ claiming that branch coverage hasn't been reached?
 - ▶ `assert` is actually a macro, too.

- ▶ Test suite achieves full branch/decision coverage for gcd
- ▶ What about
 - ▶ condition coverage?
 - ▶ condition decision coverage?
 - ▶ MC/DC?
 - ▶ multiple condition coverage?

- ▶ Test suite achieves full branch/decision coverage for gcd
- ▶ What about
 - ▶ condition coverage?
 - ▶ condition decision coverage?
 - ▶ MC/DC?
 - ▶ multiple condition coverage?
- ▶ Only decisions in gcd are $(m \neq 0)$ and $(x < y)$
 - ▶ Therefore, these notions coincide.

Data-Flow-Based Coverage Metrics

```
unsigned k, m;  
if (x > y) {  
    k = x; m = y;  
} else {  
    k = y; m = x;  
}  
while (m != 0) {  
    unsigned r = k % m;  
    k = m; m = r;  
}  
return k;
```

x	y
0	0
1	1
905	2
2	3
512	31

- Do we achieve **all-p-uses/some-c-uses** coverage?
(all definitions used, and if they affect decisions, then all affected decisions are executed)

- ① Select a path in the function `gcd`
- ② Generate conditions depending on *symbolic* inputs
- ③ Find *satisfying assignment* (using Z3)
- ④ Run “secret implementation” on generated inputs
 - ▶ Report generated inputs and output of oracle
- ④ If coverage reached, terminate; else goto ①

- ▶ E.g., want to cover else-branch at ①, loop at ② once

```
    unsigned k, m;  
①  if (x > y) {  
        k = x; m = y  
    } else {  
        k = y; m = x;  
    }  
②  while (m != 0) {  
        unsigned r = k % m;  
        k = m; m = r;  
    }  
    return k;
```

- E.g., want to cover else-branch at ①, loop at ② once

```
unsigned k, m;                                 $x \mapsto x_0, y \mapsto y_0$   
①  if (x > y) {  
    k = x; m = y;  
  } else {  
    k = y; m = x;  
  }  
②  while (m != 0) {  
    unsigned r = k % m;  
    k = m; m = r;  
  }  
  return k;
```


- E.g., want to cover else-branch at ①, loop at ② once

```
    unsigned k, m;                                 $x \mapsto x_0, y \mapsto y_0$ 
①  if (x > y) {                                     $(x_0 \leq y_0)$ 
    k = x; m = y
  } else {
    k = y; m = x;
  }
②  while (m != 0) {
    unsigned r = k % m;
    k = m; m = r;
  }
  return k;
```

- E.g., want to cover else-branch at ①, loop at ② once

	unsigned k, m;	$x \mapsto x_0, y \mapsto y_0$
①	if (x > y) {	$(x_0 \leq y_0)$
	k = x; m = y	
	} else {	
	k = y; m = x;	$k \mapsto y_0, m \mapsto x_0$
	}	
②	while (m != 0) {	
	unsigned r = k % m;	
	k = m; m = r;	
	}	
	return k;	

- E.g., want to cover else-branch at ①, loop at ② once

	unsigned k, m;	$x \mapsto x_0, y \mapsto y_0$
①	if (x > y) {	$(x_0 \leq y_0)$
	k = x; m = y	
	} else {	
	k = y; m = x;	$k \mapsto y_0, m \mapsto x_0$
	}	
②	while (m != 0) {	$(x_0 \neq 0)$
	unsigned r = k % m;	
	k = m; m = r;	
	}	
	return k;	

- E.g., want to cover else-branch at ①, loop at ② once

	unsigned k, m;	$x \mapsto x_0, y \mapsto y_0$
①	if (x > y) {	$(x_0 \leq y_0)$
	k = x; m = y	
	} else {	
	k = y; m = x;	$k \mapsto y_0, m \mapsto x_0$
	}	
②	while (m != 0) {	$(x_0 \neq 0)$
	unsigned r = k % m;	$r \mapsto (y_0 \% x_0)$
	k = m; m = r;	
	}	
	return k;	

- E.g., want to cover else-branch at ①, loop at ② once

unsigned k, m;	$x \mapsto x_0, y \mapsto y_0$
① if (x > y) {	$(x_0 \leq y_0)$
k = x; m = y	
} else {	
k = y; m = x;	$k \mapsto y_0, m \mapsto x_0$
}	
② while (m != 0) {	$(x_0 \neq 0)$
unsigned r = k % m;	$r \mapsto (y_0 \% x_0)$
k = m; m = r;	$k \mapsto x_0, m \mapsto (y_0 \% x_0)$
}	
return k;	

- E.g., want to cover else-branch at ①, loop at ② once

unsigned k, m;	$x \mapsto x_0, y \mapsto y_0$
① if (x > y) {	$(x_0 \leq y_0)$
k = x; m = y	
} else {	
k = y; m = x;	$k \mapsto y_0, m \mapsto x_0$
}	
② while (m != 0) {	$(x_0 \neq 0)$
unsigned r = k % m;	$r \mapsto (y_0 \% x_0)$
k = m; m = r;	$k \mapsto x_0, m \mapsto (y_0 \% x_0)$
}	
return k;	$((y_0 \% x_0) = 0)$

- ▶ We generated the constraint

$$(x_0 \leq y_0) \wedge (x_0 \neq 0) \wedge ((y_0 \% x_0) = 0)$$

- ▶ Is it *satisfiable*?

- ▶ We generated the constraint

$$(x_0 \leq y_0) \wedge (x_0 \neq 0) \wedge ((y_0 \% x_0) = 0)$$

- ▶ Is it *satisfiable*?
 - ▶ Yes, for instance $x_0 \mapsto 1, y_0 \mapsto 1$

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- ▶ Run *oracle* on input $x_0 \mapsto 1, y_0 \mapsto 1$

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 - ▶ We obtain the result 1

- ▶ We generated the constraint

$$(x_0 \leq y_0) \wedge (x_0 \neq 0) \wedge ((y_0 \% x_0) = 0)$$

- ▶ Is it *satisfiable*?
 - ▶ Yes, for instance $x_0 \mapsto 1, y_0 \mapsto 1$
- ▶ Run *oracle* on input $x_0 \mapsto 1, y_0 \mapsto 1$
 - ▶ We obtain the result 1
- ▶ Report test case, and select next path

```
unsigned gcd (unsigned x, unsigned y)
```

- ▶ Which equivalence classes would you generate?
- ▶ Which test cases would boundary testing yield?

... you can try to *prove the program correct*.

- ▶ An assertion is an (loop) invariant if
 - ▶ it holds upon loop entry
 - ▶ remains true after each iteration of the loop
- ▶ An invariant is *inductive*
 - ▶ if its validity upon loop entry is sufficient to guarantee that it still holds after the iteration

Euclid's Algorithm and Inductive Invariants

Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
- ▶ $GCD(0, x) = x$
- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
  
    uint r = k % m;  
  
    k = m;  
  
    m = r;  
  
}
```

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- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
  
    uint r = k % m;  
  
    k = m;  
  
    m = r;  
    assert ((k ≥ m) ∧ GCD(x, y) = GCD(k, m));  
}
```

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- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
  
    uint r = k % m;  
  
    k = m;  
    assert ((k ≥ r) ∧ GCD(x, y) = GCD(k, r));  
    m = r;  
    assert ((k ≥ m) ∧ GCD(x, y) = GCD(k, m));  
}
```


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- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
  
    uint r = k % m;  
    assert ((m ≥ r) ∧ GCD(x, y) = GCD(m, r));  
    k = m;  
    assert ((k ≥ r) ∧ GCD(x, y) = GCD(k, r));  
    m = r;  
    assert ((k ≥ m) ∧ GCD(x, y) = GCD(k, m));  
}
```

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Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
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- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
    assert ((m ≥ (k % m)) ∧ GCD(x, y) = GCD(m, (k % m)));  
    uint r = k % m;  
    assert ((m ≥ r) ∧ GCD(x, y) = GCD(m, r));  
    k = m;  
    assert ((k ≥ r) ∧ GCD(x, y) = GCD(k, r));  
    m = r;  
    assert ((k ≥ m) ∧ GCD(x, y) = GCD(k, m));  
}
```

Euclid's Algorithm and Inductive Invariants

Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
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- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
    assert (  $\underbrace{(m \geq (k \% m))}_{\text{true}} \wedge GCD(x, y) = GCD(m, (k \% m))$  );  
    uint r = k % m;  
    assert (  $(m \geq r) \wedge GCD(x, y) = GCD(m, r)$  );  
    k = m;  
    assert (  $(k \geq r) \wedge GCD(x, y) = GCD(k, r)$  );  
    m = r;  
    assert (  $(k \geq m) \wedge GCD(x, y) = GCD(k, m)$  );  
}
```

Euclid's Algorithm and Inductive Invariants

Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
- ▶ $GCD(0, x) = x$
- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
    assert ( $GCD(x, y) = GCD(m, (k \% m))$ );  
    ...  
    assert ( $(k \geq m) \wedge GCD(x, y) = GCD(k, m)$ );  
}
```

Euclid's Algorithm and Inductive Invariants

Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
- ▶ $GCD(0, x) = x$
- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

```
while (m != 0) {  
    assert ( $GCD(x, y) = GCD(m, (k \% m))$ );  
    ...  
    assert ( $(k \geq m) \wedge GCD(x, y) = GCD(k, m)$ );  
}
```

Need to show:

$$(k \geq m) \wedge (GCD(x, y) = GCD(k, m)) \Rightarrow (GCD(x, y) = GCD(m, (k \% m)))$$

Euclid's Algorithm and Inductive Invariants

Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
- ▶ $GCD(0, x) = x$
- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

Need to show:

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- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

Need to show:

$$(k \geq m) \wedge (GCD(x, y) = GCD(k, m)) \Rightarrow (GCD(x, y) = GCD(m, (k \% m)))$$

- ▶ Since $(k \geq m)$, we have $GCD(k, m) = GCD((k \% m), m)$

Euclid's Algorithm and Inductive Invariants

Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
- ▶ $GCD(0, x) = x$
- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

Need to show:

$$(k \geq m) \wedge (GCD(x, y) = GCD(k, m)) \Rightarrow (GCD(x, y) = GCD(m, (k \% m)))$$

- ▶ Since $(k \geq m)$, we have $GCD(k, m) = GCD((k \% m), m)$
- ▶ Therefore $GCD(x, y) = GCD(m, (k \% m))$

Euclid's Algorithm and Inductive Invariants

Assume we have a *predicate* GCD with the following properties:

- ▶ $GCD(x, y) = GCD(y, x)$
- ▶ $GCD(0, x) = x$
- ▶ $GCD(x, x) = x$
- ▶ $(x > y) \Rightarrow GCD(x, y) = GCD(x \% y, y)$

Need to show:

$$(k \geq m) \wedge (GCD(x, y) = GCD(k, m)) \Rightarrow (GCD(x, y) = GCD(m, (k \% m)))$$

- ▶ Since $(k \geq m)$, we have $GCD(k, m) = GCD((k \% m), m)$
- ▶ Therefore $GCD(x, y) = GCD(m, (k \% m))$
- ▶ Loop iteration does not invalidate

$$(k \geq m) \wedge GCD(x, y) = GCD(k, m)$$

Does

$$(k \geq m) \wedge GCD(x, y) = GCD(k, m)$$

hold at the beginning of the loop?

```
uint k = Math.Max(x,y);
```

```
uint m = Math.Min(x,y);
```

Does

$$(k \geq m) \wedge GCD(x, y) = GCD(k, m)$$

guarantee that $k = GCD(x, y)$ after the loop?

- ▶ After the loop, we know that $m = 0$
- ▶ Therefore

$$(k \geq 0) \wedge GCD(x, y) = GCD(k, 0)$$

Does

$$(k \geq m) \wedge GCD(x, y) = GCD(k, m)$$

guarantee that $k = GCD(x, y)$ after the loop?

- ▶ After the loop, we know that $m = 0$
- ▶ Therefore

$$(k \geq 0) \wedge GCD(x, y) = GCD(k, 0)$$

- ▶ The algorithm is correct!

Part 1 of Assignment 1 (Assertions): Solution

Trickiest part (the others are easy):

► `assert(x==y); x=x^y; y=x^y; x=x^y; assert(x==y);`

`x=xy;`

`y=xy;`

`x=xy;`

`assert(x==y);`

Part 1 of Assignment 1 (Assertions): Solution

Trickiest part (the others are easy):

► `assert(x==y); x=x^y; y=x^y; x=x^y; assert(x==y);`

`x=x^y;`

`y=x^y;`

`assert((x^y)==y);`

`x=x^y;`

`assert(x==y);`

Part 1 of Assignment 1 (Assertions): Solution

Trickiest part (the others are easy):

► `assert(x==y); x=x^y; y=x^y; x=x^y; assert(x==y);`

`x=x^y;`

`assert((x^(x^y))==(x^y));`

`y=x^y;`

`assert((x^y)==y);`

`x=x^y;`

`assert(x==y);`

Part 1 of Assignment 1 (Assertions): Solution

Trickiest part (the others are easy):

► `assert(x==y); x=x^y; y=x^y; x=x^y; assert(x==y);`

`assert(((x^y)^(x^y^y))==((x^y)^y));`

`x=x^y;`

`assert((x^(x^y))==x^y);`

`y=x^y;`

`assert((x^y)==y);`

`x=x^y;`

`assert(x==y);`

Part 1 of Assignment 1 (Assertions): Solution

Trickiest part (the others are easy):

► `assert(x==y); x=x^y; y=x^y; x=x^y; assert(x==y);`

`assert(((x^y)^(x^y^y))==((x^y)^y));`

`x=x^y;`

`assert((x^(x^y))==x^y);`

`y=x^y;`

`assert((x^y)==y);`

`x=x^y;`

`assert(x==y);`

► We know that $x^y = y^x$

$$\underbrace{(x^y)^{(x^y)^y}}_{x^x y^y y^y} = (x^y)^y$$

Part 1 of Assignment 1 (Assertions): Solution

Trickiest part (the others are easy):

► `assert(x==y); x=x^y; y=x^y; x=x^y; assert(x==y);`

`assert(((x^y)^(x^y^y))==((x^y)^y));`

`x=x^y;`

`assert((x^(x^y))==x^y);`

`y=x^y;`

`assert((x^y)==y);`

`x=x^y;`

`assert(x==y);`

► We know that $x^y = y^x$

$$\underbrace{(x^y)^{(x^y)^y}}_{x^x y^y y^y} = (x^y)^y$$

► Furthermore $x^x = 0$ and $x^0 = x$, therefore we obtain $(y = x)$

Part 2 of Assignment 1: Solution

```
flagA = 0;
lock (A);
    flagA = 1;
    assert (!flagB);
    lock (B);
    flagA = 0;
    unlock (B);
unlock (A);
```

```
flagB = 0;
lock (B);
    flagB = 1;
    assert (!flagA);
    lock (A);
    flagB = 0;
    unlock (A);
unlock (B);
```

Note:

- ▶ If only one thread contains an assertion, then there's a potential deadlock without an assertion failure
- ▶ If `flagA` and `flagB` are reset after the inner locks are released, then there's a potential assertion failure even if the deadlock doesn't happen

Part 3 of Assignment 1: Solution

```
assert (j == j + (i - i));
int x = i;
assert (j == j + (i - x));
int y = j;
assert (y == j + (i - x));
while (x != 0) {
    assert ((y + 1) == j + (i - (x - 1)));
    x--;
    assert ((y + 1) == j + (i - x));
    y++;
    assert (y == j + (i - x)); // # iterations n := i - x
}
assert ((x == 0) && y == j + (i - x));
assert ((i != j) || (y == 2 * i));
```

Part 3 of Assignment 1: Solution (ctd.)

- ▶ $(y == j + (i - x))$ implies $(y + 1) == j + (i - (x - 1))$
 - ▶ Therefore $(y == j + (i - x))$ is a loop invariant
- ▶ $(y == j + (i - x))$ is inductive
 - ▶ Holds at beginning of loop, since $(j == j + (i - i))$ is true
- ▶ Implies assertion after loop (since $x == 0$)

Summary

Today was a recap of

- ▶ Assertions
- ▶ Testing
- ▶ Test Case Generation
- ▶ Inductive Invariants

Summary

Today was a recap of

- ▶ Assertions
- ▶ Testing
- ▶ Test Case Generation
- ▶ Inductive Invariants

Next time it's getting a bit more *formal*

- ▶ Next few lectures by
Prof. Helmut Veith and Josef
Widder

