

Quarks, Gluons, QCD



- Quarks: from a concept of classification to physics reality
- Deep inelastic electron scattering
 - Pointlike constituents: 'partons'
 - Quantitative analysis: partons have spin ½ and fractional charge
- e⁺e⁻ annihilation:
 - Number of quarks; color charge of quarks
 - Discovery of gluons
- QCD Lagrangian
 - Difference to QED
 - Quark-Gluon Plasma



• Probing the charge distribution, shown in figure



- Approach; measure the angular distribution of electrons and compare to pointlike distribution
- $\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega}\right)_{point} \left|F(q)\right|^2$ with $q = k_i k_f$; |F(q)|...Form factor
- Example: scattering of unpolarized electrons from static charge distribution $Ze\rho(\vec{x})$
- For a static target: $F(\vec{q}) = \int \rho(\vec{x}) e^{i\vec{q}\cdot\vec{x}} d^3x$... Fourier transform of charge distribution
- Form factor is Fourier transform of charge distribution

• Lorentz invariant four-momentum transfer

$$q^2 = (E - E')^2 - (\vec{p} - \vec{p}')^2 \cong -4 E E' \sin^2(\theta/2)$$





- Reaction is relevant for understanding lepton scattering on constituents
- Scattering cross section in Lab frame (muon at rest, mass M)



- Scattering cross section of electron on spin ½ particle
- Electron beam used to study dimension and internal structure of protons





• For |q| small; (small energy transfer, large 'equivalent' wavelength of electron)

$$F(\vec{q}) = \int \left(1 + i\vec{q} \cdot \vec{x} - \frac{(\vec{q} \cdot \vec{x})^2}{2} + \dots \right) \rho(\vec{x}) d^3x = 1 - \frac{1}{6} |\vec{q}|^2 \langle r^2 \rangle$$

assuming that charge distribution is spherically symmetric

- Low |q|, i.e. small angle scattering measures the mean square charge radius
- Cannot directly be applied to protons
 - Need to consider magnetic moment; proton not static, will recoil
- Reference point-like cross-section is same as eµ scattering with M_P

$$\left(\frac{d\sigma}{d\Omega}\right)_{lab} = \frac{\alpha^2}{4E^2 \sin^4 \theta/2} \frac{E'}{E} \left(A\cos^2 \theta/2 - B\frac{q^2}{2M^2} \sin^2 \theta/2\right)$$

where A, B = 1 for point-like proton; E/E' from proton recoil





 Generalizing to extended source, one obtains two form factors (electric and magnetic) with κ being the anomalous magnetic moment with the result

•
$$A = \left(F_1^2 - \frac{\kappa^2}{4M^2}F_2^2\right)$$
, $B = -\frac{q^2}{2M^2}\left(F_1 + \kappa F_2\right)^2$

- 'Rosenbluth' formula; the two form factors $F_{1,2}(q^2)$ summarize the structure of the proton; determined experimentally; formula reduces to pointlike formula for $\kappa=0$ and $F_1(q^2) = 1$
- In practise $G_E = F_1 + \frac{\kappa q^2}{4M^2} F_2$ $G_M = F_1 + \kappa F_2$
- For protons: $\langle r^2 \rangle = (0.81^* \ 10^{-13} \ \text{cm})^2$
- Nobel prize for Hofstaedter in 1961







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- Probing the internal structure of the proton
 - Increase the momentum transfer q² of the photon, equivalent to photons of shorter wavelength
 - However, if proton is composite object, it will get excited, break up under large momentum transfer, producing system of particles with invariant mass W







The ep-> eX cross section as a function of the invariant mass of the particle system produced. The peak at W≈ M corresponds to scattering which does not breakup the proton; the peaks at higher W correspond to excited states of the proton; beyond the resonances multiparticle states with large invariant mass result in a smooth behaviour.



(elastic peak at W=M_p is reduced by factor 8.5)





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- Generalization of the inelastic scattering process follows the formalism for $e^- \mu^- \rightarrow e^- \mu^-$, but requires a more complicated description of the proton interaction, with two independent variables
 - q², v = p•q/ M, q...four-momentum of virtual photon;
 M ..proton mass;
 - or alternatively
 - $x = -q^2 / 2 p \cdot q$; $y = p \cdot q / p \cdot k$
 - Invariant mass of final hadronic system

$$W^2 = (p+q)^2 = M^2 + 2vM + q^2$$

• Giving the final result

$$\left(\frac{d\sigma}{dE'd\Omega}\right)_{lab} = \frac{4\alpha^2 E'^2}{q^4} \left(W_2(\nu, q^2)\cos^2\theta / 2 + 2W_1(\nu, q^2)\sin^2\theta / 2\right)$$

with W_1 and W_2 to be determined experimentally... see later





- The differential cross section for eµ-> eµ, ep -> ep (elastic) and ep -> eX can written as $\left(\frac{d\sigma}{dE'd\Omega}\right)_{lab} = \frac{4\alpha^2 E'^2}{\alpha^4} \{...\}$
- For eµ-> eµ $\{...\} = \left(\cos^2\theta/2 - \frac{q^2}{2m^2}\sin^2\theta/2\right)\delta(v + \frac{q^2}{2m})$
- For ep -> ep (elastic) $\{\dots\} = \left(\frac{G_E^2 + \tau G_M^2}{1 + \tau} \cos^2 \theta / 2 + 2\tau G_M^2 \sin^2 \theta / 2\right) \delta\left(\nu + \frac{q^2}{2M}\right)$
- Integration over δ -function gives $\left(\frac{d\sigma}{d\Omega}\right) = \frac{\alpha^2}{4E^2 \sin^4 \theta / 2} \frac{E'}{E} (...)$
- For ep -> eX very similar; see expression on previous slide





- The formalism developed for deep inelastic scattering ep-> eX can be applied to the special case of probing a possible proton substructure
 - Using sufficiently small wavelength (i.e. sufficiently large q²) it is possible to resolve a possible substructure, i.e. constituents
 - The breaking-up of the proton is described by the inelastic form factors $W_{\rm 1}$ and $W_{\rm 2}$
 - The scattering formalism is applied to electron scattering on the constituents, assuming certain properties







Assuming pointlike constituents ('partons') with spin ½, the scattering cross section is related to eµ-scattering with (for convenience Q² = - q²)

$$2W_{1}^{point}(v,Q^{2}) = \frac{Q^{2}}{2m^{2}}\delta(v - \frac{Q^{2}}{2m})$$
$$W_{2}^{point}(v,Q^{2}) = \delta(v - \frac{Q^{2}}{2m})$$

- m is the mass of the parton (or quark); pointlike: structureless Dirac particle
- Using the identity $\delta(x/a) = a \delta(x)$ one finds

$$2mW_1^{point}(v,Q^2) = \frac{Q^2}{2mv}\delta(v - \frac{Q^2}{2mv}) \qquad vW_2^{point}(v,Q^2) = \delta(v - \frac{Q^2}{2mv})$$

 With the intriguing result that these functions depend only on the ratio Q²/ 2mv and not on Q² and v independently





 Summarizing and replacing the parton mass scale with the proton mass scale M

$$MW_1^{point}(\nu, Q^2) \rightarrow F_1(\omega) \qquad \nu W_2(\nu, Q^2) \rightarrow F_2(\omega)$$

for large Q^2 and $\omega = 2Mv/Q^2$; at a given ω , the structure functions are measured to be independent of Q^2

- Inelastic structure functions are independent on Q² -> constituents are pointlike and quasi-free (inside the proton)
- One experimental example
 Structure function = Fourier Transform of charge distribution→ St.F. is constant → charge distrib. is pointlike!





• Partons are spin ½, electrically charged pointlike particles



- This picture recognizes that there are various partons in the proton: e.g. u, d quarks with different charges; uncharged gluons, with which the photon does not react; they carry different fraction x of the parent proton's momentum and energy ->
- Parton momentum distribution



Parton momentum distribution functions

 f_i(x) gives probability that parton i carries fraction x of the proton's momentum p; all the fractions have to add up to 1

$$\sum \int dx \, x \, f_i(x) = 1$$

• Which leads to the following expressions for the structure functions

$$vW_2(v,Q^2) \rightarrow F_2(x) = \sum_i e_i^2 x f_i(x)$$

 $MW_1(v,Q^2) \rightarrow F_1(x) = \frac{1}{2x} F_2(x)$

with $x = 1/\omega = Q^2/2Mv$, only dependent on x

• The momentum fraction is found to be identical to the kinematical variable x of the virtual photon: the virtual photon must have the right value of x to be absorbed by the parton with momentum fraction x







 Proton is composed of the constituent quarks (u,d quarks) (or 'valence' quarks), gluons, and quark-antiquark pairs ('sea' quarks)



• For the proton $\frac{1}{x}F_{2}^{p}(x) = \left(\frac{2}{3}\right)^{2} \left[u^{p}(x) + \overline{u}^{p}(x)\right] + \left(\frac{1}{3}\right)^{2} \left[d^{p}(x) + \overline{d}^{p}(x)\right] + \left(\frac{1}{3}\right)^{2} \left[s^{p}(x) + \overline{s}^{p}(x)\right]_{17}$





• Six unknown quark structure functions; additional information is provided by measuring electron-deuteron scattering, providing information on the corresponding neutron structure functions

$$\frac{1}{x}F_{2}^{n}(x) = \left(\frac{2}{3}\right)^{2} \left[u^{n}(x) + \overline{u}^{n}(x)\right] + \left(\frac{1}{3}\right)^{2} \left[d^{n}(x) + \overline{d}^{n}(x)\right] + \left(\frac{1}{3}\right)^{2} \left[s^{n}(x) + \overline{s}^{n}(x)\right]$$

- Due to isospin invariance their quark content is related
 - There are as many u quarks in the proton as d quarks in the neutron
- $u^{p}(x) = d^{n}(x) \equiv u(x)$ $d^{p}(x) = u^{n}(x) \equiv d(x)$ $s^{p}(x) = s^{n}(x) \equiv s(x)$
- Additional constraints: quantum numbers of proton must be those of the uud combination
- Measurement of F₂ (x) confirms charge assignment of the u and d quarks

Conceptual form of the structure functions







• From
$$\frac{1}{x} \left[F_2^p(x) - F_2^n(x) \right] = \frac{1}{3} \left[u(x) - d(x) \right]$$

one can directly measure the valence quark distributions



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• From the analysis of deep inelastic scattering data



Quark structure functions: 'state of the art'



Deep inelastic scattering (DIS) experiment at Stanford Linear Accelerator(SLAC)

- Developed in the late 1960's; was at the time one of the largest experimental facilities
- Originally conceived to study elastic scattering-> extension to inelastic scattering met with some scepticism by the Program Committees: what can one learn?
- Established the quark structure
- Nobel prize (1990) for J.I. Friedman, H.W. Kendall and R.E. Taylor for 'structure of the proton'





- From structure functions F₂ (x, Q²) ≈ F₂ (x) -> nucleons are composed from pointlike constituents
- From $2x F_1(x) = F_2(x) \rightarrow \text{constituents have spin } \frac{1}{2}$
- From experimental data on F₂ (x) for protons and neutrons (supplemented with data from DIS neutrino scattering) -> charge assignment for the u and d quarks
- From ∫F₂ (x) dx -> quarks carry approximately 50 % of nucleon momentum; the rest is carried by the gluons; strong evidence for the reality and importance of gluons inside the nucleon
- Quantum numbers of the nucleon can be explained with the quantum number assignment of the quarks





• $e^+e^- \rightarrow Q \overline{Q} \rightarrow Q \rightarrow hadron jet, \overline{Q} \rightarrow hadron jet$



- $\sigma(e^+e^- \rightarrow anything) \propto \frac{1}{s} (as for \mu^+ \mu^- production)$ s...center of mass energy
- Peaks in cross-section are due to boson resonances



e⁺e⁻ Annihilations to Hadrons vs center of mass energy s









- Measurement of of total cross section σ (e⁺e⁻ \rightarrow hadrons) relative to σ (e⁺e⁻ $\rightarrow \mu^{+}\mu^{-}$)
- Total cross section is obtained by summing over all contributing quarks:

$$\sigma(e^+e^- \to q\overline{q}) = \sigma(e^+e^- \to \mu^+\mu^-) \bullet N_C \sum_i q_i^2$$

- N_c is the number of color charges (states)
- the (three) color states of a quark have the same electric charge
- The sum is over all energetically possibly produced quarks
- Measurement of the ratio

$$R = \sigma(e^+e^- \to q\overline{q}) / \sigma(e^+e^- \to \mu^+\mu^-) = N_C \sum_i q_i^2$$

directly determines the number of color states
Higher order effects (3 jets,..) modify R

$$R = R_0 (1 + \alpha_s (Q^2) / \pi + ...)$$



Measurement of R





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- Discovery of gluons in the observation of e⁺e⁻ → three Jets → quark jet+ antiquark jet + gluon jet
 - gluon is radiated by a quark (or antiquark)
- Independent confirmation in proton-proton collisions (quark-gluon scattering) and DIS (electrons and neutrinos)







- Angular distribution of the gluon jet is sensitive to spin of gluon->
 - Spin of gluon = 1 (vector boson)
- Three-jet events can also be used to determine α_s : rate of gluon radiation is proportional to α_s





Event display of a 3-jet event at LEP





- Measurements are consistent with
 - fractional charge for the quarks and three color states (value of R)
 - Quarks (Antiquarks) can radiate gluons -> gluons have similar reality as quarks
 - Gluon radiation can be quantitatively used to measure α_s and to determine the spin of the gluon (S=1)



Theory of Strong Interactions: Quantum Chromodynamics (QCD)



- Status (approx. 1970)
 - Concept of quarks introduced for classification of hadrons
 - The 'Eightfold Way' by Gell-Mann; similar concept by Zweig
 - Classification needed another ingredient, 'color' charge of quarks
 - Required to avoid problem with Pauli exclusion principle
 - Free quarks were not observed-> are quarks really particles ?
 - DIS showed that proton has a substructure-> partons
 - Detailed experiments confirmed partons to have the properties of quarks(fractional charge, spin ½)
 - Quantum Electrodynamics (QED) confirmed with high experimental accuracy-> local gauge invariance as principle for deriving the Lagrangian of particle interactions
 - Experimental tests of nascent electroweak theory contemplated
- Ingredients prepared for attacking the 'hardest' problem: Strong Interactions



Quantum Chromodynamics (QCD)





- in QCD: color plays the role of charge
- fundamental vertex $q \rightarrow q + gluon g$
- Analogous to $e \rightarrow e + \gamma$

- bound state of $q\overline{q}$
- o Scattering of two quarks
- force between two quarks is mediated by the exchange of gluons







- QED: one type of charge, i.e. one number (+, -); photon is neutral
- QCD: three kinds of color: red, green, blue
 - Fundamental process $q \rightarrow q + g$



- : color of quark (not its flavor may change in strong interactions)
- e.g.: blue up-quark \Rightarrow red up-quark

color is conserved \Rightarrow gluon carries away the difference

gluons are 'bicolored' with one positive and negative unit (e.g.: one unit of blueness and minus one unit of redness)

 $3 \times 3 = 9$ possibilities \Rightarrow experimentally only 8 different gluons observed; ninth gluon would be 'color singlet' (color neutral) and therefore observable \Rightarrow not observed, i.e. does not exist







- QED Lagrangian derived with the requirement of 'local gauge invariance'; gauge group is U(1)
- $\mathcal{L} = [i\hbar c\overline{\psi}\gamma^{\mu}\partial_{\mu}\psi mc^{2}\overline{\psi}\psi] \left[\frac{1}{16\pi}F^{\mu\nu}F_{\mu\nu}\right] (q\overline{\psi}\gamma^{\mu}\psi)A_{\mu} \quad F^{\mu\nu} = \partial^{\mu}A^{\nu} \partial^{\nu}A^{\mu}$ with A_{μ} a new massless field such that $A_{\mu} \to A_{\mu} + \partial_{\mu}\lambda$
- QCD Lagrangian $\mathcal{L} = i\hbar c \overline{\psi} \gamma^{\mu} \partial_{\mu} \psi mc^2 \overline{\psi} \psi$
- with $\overline{\psi} = (\overline{\psi}_r \overline{\psi}_b \overline{\psi}_g)$, describes interaction of three (equal mass) color states-> require invariance under U(3), with U being a 3x3 matrix which can be written as $U = e^{i\theta} e^{i\overline{\lambda}\cdot\overline{a}}; \overline{\lambda}\cdot\overline{a} = \lambda_1 a_1 + \lambda_2 a_2 + ... + \lambda_8 a_8$
- Matrix $e^{i\overline{\lambda}\cdot\overline{a}}$ has determinant 1-> belongs to SU(3) -> want to derive Lagrangian invariant under local SU(3) invariance
- $\psi \to S\psi$, where $S \equiv e^{iq\overline{\lambda} \cdot \Phi(x)/hc}$; $\Phi \equiv -(hc/g)\overline{a}$; g is coupling constant
- Complete QCD Lagrangian

$$\mathcal{L} = [i\hbar c \overline{\psi} \gamma^{\mu} \partial_{\mu} \psi - mc^2 \overline{\psi} \psi] - \left[\frac{1}{16\pi} F^{\mu\nu} F_{\mu\nu} \right] - (q \overline{\psi} \gamma^{\mu} \overline{\lambda} \psi) A_{\mu}$$

1st term: free quark; 2nd term: gluon field; 3rd term: quark-gluon interaction







gluons, carrying color, (unlike the electrically neutral photon) may

couple to other gluons \Rightarrow three and four gluon vertices \Rightarrow QCD more

complicated (but also richer: allows for more possibilities)

- Coupling constant α_s ~> 1 ⇒ higher order diagrams make significant (sometimes even dominant) contributions: a real problem!
- However, triumph of QCD: discovery that α_s is NOT constant, but depends on the separation of the interacting particles ⇒ 'running' coupling constant:
 - α_s is large at large distances (larger than proton) \Rightarrow 'confinement')
 - α_s is small at very short distances (smaller than proton) \Rightarrow ('asymptotic freedom')



QCD Lagrangian:



Complete QCD Lagrangian $\mathcal{L} = [i\hbar c\overline{\psi}\gamma^{\mu}\partial_{\mu}\psi - mc^{2}\overline{\psi}\psi] - \left|\frac{1}{16\pi}F^{\mu\nu}F_{\mu\nu}\right| - (q\overline{\psi}\gamma^{\mu}\overline{\lambda}\psi)A_{\mu\nu}$ with $F^{\mu\nu} = \partial^{\mu}A^{\nu} - \partial^{\nu}A^{\mu} - \frac{2q}{hc}(\vec{A}^{\mu} \times \vec{A}^{\nu})$ 1st part is analog to photon field in QED;2nd term is new: quadratic in gluon field

$$\mathcal{L}_{QCD} = (q\overline{q}) + g(q\overline{q}F) + (F^2) + g(F^3) + g^2(F^4)$$

quark-gluon gluons quarks gluon-gluon self interaction

- Lagrangian describes three equal-mass Dirac fields (the three colors of a given quark flavor) with eight massless vector fields (the gluons)
- Lagrangian applies to one specific quark flavor; need altogether six replicas of ψ for the six quark flavors 37

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Detour to QED



- Also in electrodynamics: effective coupling also depends on distance
 - Charge q embedded in dielectric medium ε (polarizable)



- medium becomes polarized
- Particle q acquires halo of negative particles, partially screening the charge q
- at large distance charge is reduced to q / ϵ
- in QED: vacuum behaves like dielectric
- full of virtual positron-electron pairs
- virtual electron attracted to q, positron repelled



- This vacuum polarization screens partially the charge at distances larger than h/mc= 2.4*10⁻¹⁰ cm (Compton wavelength of electron)
- Measurable, e.g. in structure of hydrogen levels
- NOTE: we measure the 'screened' charge, not the 'bare' charge 38





QED : coupling constants modified by virtual effects ('loop diagrams')

which 'screens' the electric charge and modifies the coupling constant as a function of the distance (or equivalently; of the momentum transfer of a reaction); observable: Lamb shift; anomalous magnetic moment

$$\alpha_{QED} = \frac{\alpha(0)}{1 - \left[\alpha(0)/3\pi\right] \ln\left[q^2\right]/(mc)^2} \quad for \left|q^2\right| >> (mc)^2$$

• Coupling constant α_{QED} varies only very weakly with q^2





- Diagrams analogous to QED contribute to vacuum polarization
- qqg vertex: contributes to increasing coupling strength at short distance
- In addition: direct gg vertex



• Competition between quark polarization diagrams, $\alpha_s \uparrow$ and gluon polarization, $\alpha_s \downarrow$ at short distances

QCD vacuum polarization and 'Camouflag

- In polarized medium quark continuously emits and reabsorbs gluons, changing constantly its color
- Color-charged gluons propagate to appreciable distances, spreading the color charge of the quark, camouflaging the quark, which is source of the color charge
 - The smaller the region around the quark the smaller the effective color charge of the quark → color charge felt by quark of another color charge approaching the quark will diminish as the quark approaches the first one
- Net effect: competition between screening and camouflage
- QCD: critical parameter a = 2 f (of flavors) 11 n (number of colors)
 - if *a* is positive (as in QED), coupling increases at short distance
 - in SM: n = 3, f = 6; a = -21; QCD coupling decreases at short distance







 The screening effects happen in QCD (quark-antiquark loops), BUT in addition due to gluon couplings

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- With n= colors (3 in SM) and f= number of quark flavors (6 in SM); μ is a reference value around which α_s is evaluated.
- At large q² α_s becomes less than 1-> perturbation theory is applicable; -> Asymptotic Freedom.
- There are equivalent Feynman rules for quantitative calculations: peturbative QCD is quantitatively tested at the <1% level





- At small $q^2 \alpha_s$ becomes very large -> *confinement*
- The exact proof of confinement is very difficult to demonstrate within the frame work of QCD and is a very active topic of current research in strong interactions





QCD: One more difference





- quarks are confined in colorless packages
- experimental observations are indirect and are complicated manifestations of QCD
- force between two protons involves diagrams of the type shown
- reminiscent of the Yukawa π-exchange model
- QCD: theory must *prove* confinement: ongoing major task of theoretical research!
- QCD prediction at very high temperature (short range) phase transition to deconfined 'Quark-Gluon Plasma' -> subject of intense theoretical and experimental current research





- Concept for *proof* of quark confiment: potential energy increases without limit as quarks are pulled farther and farther apart -> energetically more favorable to produce quark-antiquar pairs
- Conclusive proof for confinement still lacking: long-range interaction difficult to treat theoretically





- One 'golden' prediction of QCD is the 'Quark-Gluon Plasma', deconfined quarks and gluons at very high density or temperature
 - T ~ 170 MeV ~ 10¹² K
 - thought to have been the primordial matter during the first microsecond after the Big Bang
- Considered to be created in very energetic collisions of heavy nuclei (e.g. lead ions)
 - Was an active program at the CERN SPS; now actively being pursued at RHIC (Relativistic Heavy Ion Collider) at Brookhaven, USA
 - Major research activity at the LHC with one dedicated facility







Phase Diagram of Quark Gluon Plasma



At sufficiently high temperature Nuclear Matter undergoes a phase transition to deconfined quarks and gluons: Quark-Gluon Plasma;





- Most-cited theoretical development of last decade
 Correspondence between anti-deSitter Gravity and conformal Quantum Field-Theories: AdS/CFT
 - Discovery within frame of Superstring-Theory
 - Strongly interacting Quantum Fieldtheorie (z.B. QCD) in 3 space and 1 time dimension \rightarrow

equivalently described with 5-dimensional Gravity theory





Applying the AdS/CFT correspondence: Black Holes <-> Quark-Gluon Plasma







- Spectacular application: strongly coupled Quark-Gluon Plasma is described with the physics of black holes in 5 dimensionen (and viceversa)
- Successful prediction: viscosity of Quark-Gluon Plasma
- Quark-Gluon Plasma is a very active field of study at LHC